

Substitute for form 1449 A & B/PTO		Complete if Known			
		Application Number	Divisional of 09/527,522		
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Confirmation Number	Unassigned		
		Filing Date	February 7, 2002		
		First Named Inventor	TAKASHIMA, Yoshiki		
		Art Unit	1652 (parent)		
		Examiner Name	E. Slobodyansky		
Sheet	1	of	1	Attorney Docket Number	Q68127

J1046 U.S. PTO  
10/06/2001  
02/07/02

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
ES		US 5965432	10-12-99	10-12-99	Kobayashi et al.
		US			
		US			
		US			
		US			
		US			
		US			
		US			
		US			

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
ES		JP	61-187786A		8/1/1986		
ES		EP	0857790A1		8/1/1998		
ES		EP	0987332A1		3/2/2000		
ES		WO	9848030A		10/1/1998		
ES		WO	0026351A		5/2/2000		
ES		WO	9946398A		9/1/1999		
ES		EP	0404146A		12/1/1990		

## OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>
ES		Database WPI Section Ch, Week 198013, Derwent Publications Ltd., London, GB: Class E14, An 1980-22950C XP002142724 & JP 55022630A (Mitsui Toatsu Chem. Inc.), Abstract	
ES		Database WPI Section Ch, Week 198604, Derwent Publications Ltd., London, GB: Class E14, An 1986-025607 XP002142725 & JP 60248647A (Yamakawa Yakuhin KK), December 9, 1985), Abstract	
ES		Shin Jong-Shik et al., "asymmetric synthesis of chiral amines with omega-transaminase." Biotechnology and Bioengineering, Vol. 65, no. 2, October 20, 1999, pgs. 206-211, XP002142728 ISSN: 0006-3592-728	

Examiner Signature	E. Slobodyansky	Date Considered	12/8/03
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.

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Sheet 1 of 1

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		US 5965432	10-12-99		Kobayashi
		US			
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Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
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		EP	0987332A1				
		WO	9848030A				
		WO	0026351A				
		WO	9946398A				
		EP	0404146A				

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**OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>
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